

## **“NALTA” Code of Ethics**

(From “NALTA” By-laws dated February 8, 2014, Article V)

### ARTICLE V - CODE OF ETHICS

The Code of Ethics shall be the basis of conduct, business principles and ideals of the members of “NALTA” and it shall be understood that the conduct of any member of “NALTA” inconsistent with the provisions set forth in this Article shall be considered unethical and said individual’s membership status shall be subject to review for possible disciplinary action as prescribed in Article VI of these By-laws.

It is the goal of “NALTA” to promote, maintain and enforce high standards of professional conduct and fair and honest dealings on the part of each “NALTA” member within the industry. It is the desire of “NALTA” to continually improve and educate its members so that they maintain such high standards and principles, as set out herein below.

1. Members shall adhere to any and all provisions of the By-laws, Code of Ethics and any rule, regulation, or order subsequently adopted by “NALTA”.
2. Members shall avoid engaging in any act or conduct which causes disrespect for or a lack of confidence in “NALTA” or its members.
3. It shall be the duty of Members at all times to promote and in a fair and honest manner, represent the industry to the public at large with the view of establishing and maintaining good will between the industry and the public.
4. In their professional dealings, Members shall conduct themselves in a manner consistent with fairness and honesty, to maintain the respect of the public.
5. Competition among those engaged in the energy industry shall be kept at a high level with careful adherence to established rules of honesty, fairness and courtesy. Members shall not betray their employer’s or client’s trust by directly turning confidential information into personal gain.
6. Members shall exercise the utmost good faith and loyalty to their employer or client and shall not act adversely or engage in any enterprise in conflict with the interest of their employer or client.
7. Members shall represent to others their area of expertise and shall not represent themselves to be skilled in professional areas in which they are not qualified.
8. Any Member charged with unethical conduct or asked to present evidence in any disciplinary proceeding or investigation, shall place all pertinent facts before the “NALTA” Ethics Committee Chairman.
9. Members shall not participate in conduct which might cause them to be convicted, adjudged or otherwise recorded as guilty by any court of competent jurisdiction of any felony, any offense involving fraud as an essential element, or any other serious crime.